

AO 98 (Rev. 12/11) Appearance Bond

**ORIGINAL**

USDC SDNY

DOCUMENT

ELECTRONICALLY FILED

DOC #:

DATE FILED: 11/13/2019

**UNITED STATES DISTRICT COURT**

for the

Southern District of New York

United States of America

v.

ASA SAINT CLAIR*Defendant*Case No. **19 CR 790 (PKC)****APPEARANCE BOND****Defendant's Agreement**

I, ASA SAINT CLAIR (*defendant*), agree to follow every order of this court, or any court that considers this case, and I further agree that this bond may be forfeited if I fail:

- ( ☒ ) to appear for court proceedings;
- ( ☒ ) if convicted, to surrender to serve a sentence that the court may impose; or
- ( ☒ ) to comply with all conditions set forth in the Order Setting Conditions of Release.

**Type of Bond**

- ( ☒ ) (1) This is a personal recognizance bond.
- ( ☒ ) (2) This is an unsecured bond of \$ 500,000.00.
- ( ☐ ) (3) This is a secured bond of \$ \_\_\_\_\_, secured by:
  - ( ☐ ) (a) \$ \_\_\_\_\_, in cash deposited with the court.

- ( ☐ ) (b) the agreement of the defendant and each surety to forfeit the following cash or other property  
(*describe the cash or other property, including claims on it – such as a lien, mortgage, or loan – and attach proof of ownership and value*):

\_\_\_\_\_  
If this bond is secured by real property, documents to protect the secured interest may be filed of record.

- ( ☐ ) (c) a bail bond with a solvent surety (*attach a copy of the bail bond, or describe it and identify the surety*):

**Forfeiture or Release of the Bond**

*Forfeiture of the Bond.* This appearance bond may be forfeited if the defendant does not comply with the above agreement. The court may immediately order the amount of the bond surrendered to the United States, including the security for the bond, if the defendant does not comply with the agreement. At the request of the United States, the court may order a judgment of forfeiture against the defendant and each surety for the entire amount of the bond, including interest and costs.

AO 98 (Rev. 12/11) Appearance Bond

*Release of the Bond.* The court may order this appearance bond ended at any time. This bond will be satisfied and the security will be released when either: (1) the defendant is found not guilty on all charges, or (2) the defendant reports to serve a sentence.

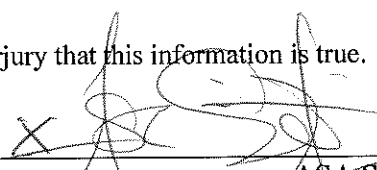
### Declarations

*Ownership of the Property.* I, the defendant – and each surety – declare under penalty of perjury that:

- (1) all owners of the property securing this appearance bond are included on the bond;
- (2) the property is not subject to claims, except as described above; and
- (3) I will not sell the property, allow further claims to be made against it, or do anything to reduce its value while this appearance bond is in effect.

*Acceptance.* I, the defendant – and each surety – have read this appearance bond and have either read all the conditions of release set by the court or had them explained to me. I agree to this Appearance Bond.

I, the defendant – and each surety – declare under penalty of perjury that this information is true. (See 28 U.S.C. § 1746.)

Date: 11/13/19

  
Defendant's signature

ASA SAINT CLAIR

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 Surety/property owner – DAVID ROBERTS

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 Surety/property owner – JULIE GRIFFIN

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 Surety/property owner –

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 Surety/property owner –

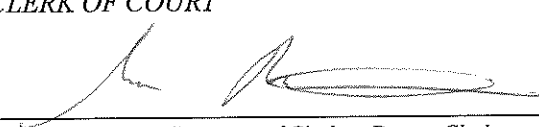
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 Surety/property owner –

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 Surety/property owner –

CLERK OF COURT

Date: 11/13/19

  
Signature of Clerk or Deputy Clerk

Approved.

Date: \_\_\_\_\_


  
AUSA's Signature

KIERSTEN FLETCHER

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Date: \_\_\_\_\_

Defendant's signature **ASA SAINT CLAIR**

Surety/property owner – **DAVID ROBERTS**

Surety/property owner – **JULIE GRIFFIN**

Surety/property owner –

Surety/property owner –

Surety/property owner –

Surety/property owner –

CLERK OF COURT

Date: \_\_\_\_\_

Signature of Clerk or Deputy Clerk

Approved.

Date: \_\_\_\_\_

AUSA's Signature **KIERSTEN FLETCHER**

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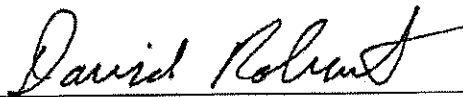
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Date: \_\_\_\_\_



Surety/property owner – DAVID ROBERTS

Defendant's signature ASA SAINT CLAIR

Surety/property owner –

Surety/property owner –

Surety/property owner –

Surety/property owner –

Surety/property owner –

CLERK OF COURT

Date: \_\_\_\_\_

Signature of Clerk or Deputy Clerk

Approved.

Date: \_\_\_\_\_

AUSA's Signature KIERSTEN FLETCHER

## UNITED STATES DISTRICT COURT

for the

Southern District of New York

United States of America

v.

**ASA SAINT CLAIR***Defendant*Case No. **19 CR 790****ORDER SETTING CONDITIONS OF RELEASE**

IT IS ORDERED that the defendant's release is subject to these conditions:

- (1) The defendant must not violate federal, state, or local law while on release.
- (2) The defendant must cooperate in the collection of a DNA sample if it is authorized by 42 U.S.C. § 14135a.
- (3) The defendant must advise the court or the pretrial services office or supervising officer in writing before making any change of residence or telephone number.
- (4) The defendant must appear in court as required and, if convicted, must surrender as directed to serve a sentence that the court may impose.

The defendant must appear at: \_\_\_\_\_

*Place*

on \_\_\_\_\_

*Date and Time*

If blank, defendant will be notified of next appearance.

- (5) The defendant must sign an Appearance Bond, if ordered.

**ADDITIONAL CONDITIONS OF RELEASE**

IT IS FURTHER ORDERED that the defendant's release is subject to the conditions marked below:

( ☐ ) (6) The defendant is placed in the custody of:

Person or organization \_\_\_\_\_

Address (only if above is an organization) \_\_\_\_\_

City and state \_\_\_\_\_

Tel. No. \_\_\_\_\_

who agrees to (a) supervise the defendant, (b) use every effort to assure the defendant's appearance at all court proceedings, and (c) notify the court immediately if the defendant violates a condition of release or is no longer in the custodian's custody.

Signed: \_\_\_\_\_

Custodian

Date

( ☒ ) (7) The defendant must:

( ☒ ) (a) submit to supervision by and report for supervision to the PSA AS DIRECTED,  
telephone number \_\_\_\_\_, no later than \_\_\_\_\_.

( ☐ ) (b) continue or actively seek employment.

( ☐ ) (c) continue or start an education program.

( ☒ ) (d) surrender any passport to: PRETRIAL SERVICES

( ☒ ) (e) not obtain a passport or other international travel document.

( ☒ ) (f) abide by the following restrictions on personal association, residence, or travel: SOUTHERN AND EASTERN DISTRICTS OF  
NEW YORK & DISTRICT OF RESIDENCE (ARIZONA) WITH POINTS IN BETWEEN FOR PURPOSE OF TRAVEL

( ☐ ) (g) avoid all contact, directly or indirectly, with any person who is or may be a victim or witness in the investigation or prosecution,  
including: \_\_\_\_\_

( ☐ ) (h) get medical or psychiatric treatment: \_\_\_\_\_

( ☐ ) (i) return to custody each \_\_\_\_\_ at \_\_\_\_\_ o'clock after being released at \_\_\_\_\_ o'clock for employment, schooling,  
or the following purposes: \_\_\_\_\_

( ☐ ) (j) maintain residence at a halfway house or community corrections center, as the pretrial services office or supervising officer considers  
necessary.

( ☐ ) (k) not possess a firearm, destructive device, or other weapon.

( ☐ ) (l) not use alcohol ( ☐ ) at all ( ☐ ) excessively.

( ☐ ) (m) not use or unlawfully possess a narcotic drug or other controlled substances defined in 21 U.S.C. § 802, unless prescribed by a licensed  
medical practitioner.

( ☒ ) (n) submit to testing for a prohibited substance if required by the pretrial services office or supervising officer. Testing may be used with  
random frequency and may include urine testing, the wearing of a sweat patch, a remote alcohol testing system, and/or any form of  
prohibited substance screening or testing. The defendant must not obstruct, attempt to obstruct, or tamper with the efficiency and  
accuracy of prohibited substance screening or testing.

( ☒ ) (o) participate in a program of inpatient or outpatient substance abuse therapy and counseling if directed by the pretrial services office or  
supervising officer.

( ☒ ) (p) participate in one of the following location restriction programs and comply with its requirements as directed.

( ☐ ) (i) **Curfew.** You are restricted to your residence every day ( ☐ ) from \_\_\_\_\_ to \_\_\_\_\_, or ( ☐ ) as  
directed by the pretrial services office or supervising officer; or

**MQ** ( ☒ ) (ii) **Home Detention.** You are restricted to your residence at all times except for employment; education; religious services;  
medical, substance abuse, or mental health treatment; attorney visits; court appearances; court-ordered obligations; or other  
activities approved in advance by the pretrial services office or supervising officer; or

( ☐ ) (iii) **Home Incarceration.** You are restricted to 24-hour-a-day lock-down at your residence except for medical necessities and  
court appearances or other activities specifically approved by the court.

( ☐ ) (q) submit to location monitoring as directed by the pretrial services office or supervising officer and comply with all of the program  
requirements and instructions provided.

( ☐ ) You must pay all or part of the cost of the program based on your ability to pay as determined by the pretrial services office or  
supervising officer.

( ☐ ) (r) report as soon as possible, to the pretrial services office or supervising officer, every contact with law enforcement personnel, including  
arrests, questioning, or traffic stops.

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**ADDITIONAL CONDITIONS OF RELEASE**

( ☒ ) (s) **\$500,000 PRB; 2 FRP'S; Travel SDNY/EDNY and District of Residence (Arizona) with points in between for purposes of travel only; Surrender travel documents (& No New Applications); Pretrial Supervision as directed by PTS; Drug testing/treatment as directed by PTS (Including Alcohol); Home detention; GPS; Deft to be detained until all conditions Are met; Deft to have no communications with current or former employees of World Sports Alliance or to engage in any World Sports Alliance business; Deft not to travel within a certain of distance of airports (To be determined by PTS in its reasonable judgment); PTS to confirm appropriateness of Arizona residence prior to release.**

**BOND MODIFIED BY JUDGE WANG 11/13/19 : The bail conditions are modified to include that defendants father in law shall put his home as additional security by November 22, 2019. Defendant may be released uponsatisfaction of all conditions set by Magistrate Judge Wang.**

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**ADVICE OF PENALTIES AND SANCTIONS**TO THE DEFENDANT: **ASA SAINT CLAIR****19 CR 790**

YOU ARE ADVISED OF THE FOLLOWING PENALTIES AND SANCTIONS:

Violating any of the foregoing conditions of release may result in the immediate issuance of a warrant for your arrest, a revocation of your release, an order of detention, a forfeiture of any bond, and a prosecution for contempt of court and could result in imprisonment, a fine, or both.

While on release, if you commit a federal felony offense the punishment is an additional prison term of not more than ten years and for a federal misdemeanor offense the punishment is an additional prison term of not more than one year. This sentence will be consecutive (*i.e.*, in addition to) to any other sentence you receive.

It is a crime punishable by up to ten years in prison, and a \$250,000 fine, or both, to: obstruct a criminal investigation; tamper with a witness, victim, or informant; retaliate or attempt to retaliate against a witness, victim, or informant; or intimidate or attempt to intimidate a witness, victim, juror, informant, or officer of the court. The penalties for tampering, retaliation, or intimidation are significantly more serious if they involve a killing or attempted killing.

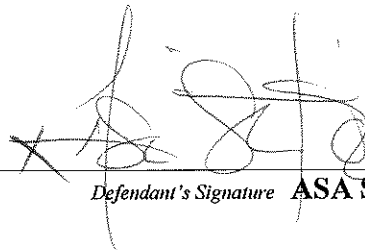
If, after release, you knowingly fail to appear as the conditions of release require, or to surrender to serve a sentence, you may be prosecuted for failing to appear or surrender and additional punishment may be imposed. If you are convicted of:

- (1) an offense punishable by death, life imprisonment, or imprisonment for a term of fifteen years or more – you will be fined not more than \$250,000 or imprisoned for not more than 10 years, or both;
- (2) an offense punishable by imprisonment for a term of five years or more, but less than fifteen years – you will be fined not more than \$250,000 or imprisoned for not more than five years, or both;
- (3) any other felony – you will be fined not more than \$250,000 or imprisoned not more than two years, or both;
- (4) a misdemeanor – you will be fined not more than \$100,000 or imprisoned not more than one year, or both.

A term of imprisonment imposed for failure to appear or surrender will be consecutive to any other sentence you receive. In addition, a failure to appear or surrender may result in the forfeiture of any bond posted.

**Acknowledgment of the Defendant**

I acknowledge that I am the defendant in this case and that I am aware of the conditions of release. I promise to obey all conditions of release, to appear as directed, and surrender to serve any sentence imposed. I am aware of the penalties and sanctions set forth above.



Defendant's Signature **ASA SAINT CLAIR**

11/13/19

**DEFENDANT RELEASED**

\_\_\_\_\_  
City and State

**Directions to the United States Marshal**

- ( ☒ ) The defendant is ORDERED released after processing.
- ( ☒ ) The United States marshal is ORDERED to keep the defendant in custody until notified by the clerk or judge that the defendant has posted bond and/or complied with all other conditions for release. If still in custody, the defendant must be produced before the appropriate judge at the time and place specified.

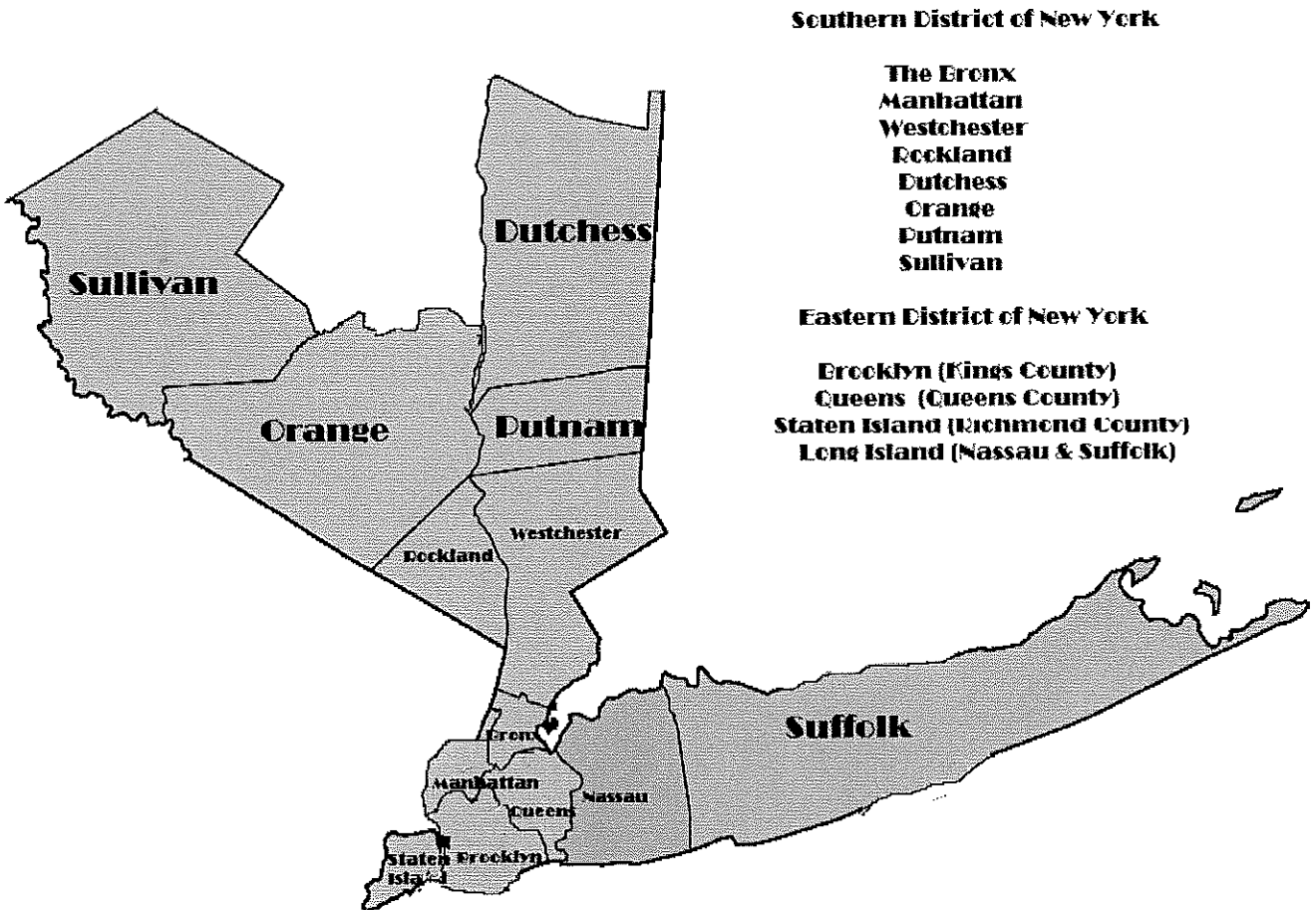
Date: \_\_\_\_\_

\_\_\_\_\_  
Judicial Officer's Signature

\_\_\_\_\_  
AUSA's Signature



DISTRIBUTION: COURT DEFENDANT PRETRIAL SERVICE U.S. ATTORNEY U.S. MARSHAL



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DOCKET No. 19 Mag 9522

DEFENDANT

Asa Saint ClairAUSA Kiersten Fletcher

DEF.'S COUNSEL

Julia Gatto☐ INTERPRETER NEEDED☐ RETAINED ☒ FEDERAL DEFENDERS ☐ CJA ☐ PRESENTMENT ONLY☐ DEFENDANT WAIVES PRETRIAL REPORT☐ Rule 5 ☐ Rule 9 ☐ Rule 5(c)(3) ☐ Detention Hrg.DATE OF ARREST 10/10/19☐ VOL. SURR. 10/23/19☐ Other: \_\_\_\_\_TIME OF ARREST CHC☐ ON WRIT = 12:00 PMTIME OF PRESENTMENT 5:30pm**BAIL DISPOSITION**☐ DETENTION ON CONSENT W/O PREJUDICE☐ DETENTION: RISK OF FLIGHT/DANGER☐ SEE SEP. ORDER☐ DETENTION HEARING SCHEDULED FOR: \_\_\_\_\_☐ SEE TRANSCRIPT☐ AGREED CONDITIONS OF RELEASE☐ DEF. RELEASED ON OWN RECOGNIZANCE☒ \$ 500,000 PRB ☒ 2 FRP☐ SECURED BY \$ \_\_\_\_\_ CASH/PROPERTY: \_\_\_\_\_☒ TRAVEL RESTRICTED TO SDNY/EDNY district of residence (Am Zone) with points in between for☐ TEMPORARY ADDITIONAL TRAVEL UPON CONSENT OF AUSA & APPROVAL OF PRETRIAL SERVICES☒ SURRENDER TRAVEL DOCUMENTS (& NO NEW APPLICATIONS) purpose of travel only☒ PRETRIAL SUPERVISION: ☐ REGULAR ☐ STRICT ☒ AS DIRECTED BY PRETRIAL SERVICES☒ DRUG TESTING/TREATMT AS DIRECTED BY PTS ☐ MENTAL HEALTH EVAL/TREATMT AS DIRECTED BY PTS☐ DEF. TO SUBMIT TO URINALYSIS; IF POSITIVE, ADD CONDITION OF DRUG TESTING/TREATMENT (including alcohol)☐ HOME INCARCERATION☒ HOME DETENTION☐ CURFEW☒ ELECTRONIC MONITORING☒ GPS☐ DEF. TO PAY ALL OF PART OF COST OF LOCATION MONITORING, AS DETERMINED BY PRETRIAL SERVICES☐ DEF. TO CONTINUE OR SEEK EMPLOYMENT [OR] ☐ DEF. TO CONTINUE OR START EDUCATION PROGRAM☐ DEF. NOT TO POSSESS FIREARM/DESTRUCTIVE DEVICE/OTHER WEAPON☒ DEF. TO BE DETAINED UNTIL ALL CONDITIONS ARE MET☐ DEF. TO BE RELEASED ON OWN SIGNATURE, PLUS THE FOLLOWING CONDITIONS: \_\_\_\_\_

; REMAINING CONDITIONS TO BE MET BY: \_\_\_\_\_

**ADDITIONAL CONDITIONS/ADDITIONAL PROCEEDINGS/COMMENTS:**

- D to have no communication with current former employees of ~~the~~ world Sports Alliance or to engage in any world sports Alliance business.
- D not to travel within a certain distance of airports (to be determined by PTS in its reasonable judgment)
- PTS to confirm appropriateness of any residence prior to release

☐ DEF. ARRAIGNED; PLEADS NOT GUILTY☐ CONFERENCE BEFORE D.J. ON \_\_\_\_\_☐ DEF. WAIVES INDICTMENT☐ SPEEDY TRIAL TIME EXCLUDED UNDER 18 U.S.C. § 3161(h)(7) UNTIL \_\_\_\_\_**For Rule 5(c)(3) Cases:**☐ IDENTITY HEARING WAIVED☐ DEFENDANT TO BE REMOVED☐ PRELIMINARY HEARING IN SDNY WAIVED☐ CONTROL DATE FOR REMOVAL: \_\_\_\_\_PRELIMINARY HEARING DATE: 11/6/19☐ ON DEFENDANT'S CONSENTDATE: 10/27/19Julia Gatto  
UNITED STATES MAGISTRATE JUDGE, S.D.N.Y.

*The bail conditions are modified to include that defendant's father - in - law shall post his home as additional* Law Office of John P. Buza, P.C.

*security by Number* 233 Broadway, Suite 900  
*22, 2019. Defendant* New York, NY 10279  
*may be released upon* 212-349-2200 (Tel.)  
*satisfaction of all conditions* 646-968-0294 (Fax)  
*set by Magistrate* [john@johnbuzalaw.com](mailto:john@johnbuzalaw.com)  
*Judge Wang.* *SO ORDERED.*

November 12, 2019

VIA ECF

The Honorable P. Kevin Castel  
 United States District Judge  
 Southern District of New York  
 500 Pearl Street  
 New York, New York 10007

Re: United States v. Saint Clair, 19 CR 790 (PKC)

Dear Judge Castel:

I represent Asa Saint Clair pursuant to the above-captioned case. As the Court is aware, this case is on for Mr. Saint Clair's arraignment tomorrow. I write this letter on behalf of all parties to request a modification to the current bond. The current bond, set by Magistrate Judge Wang, is \$500,000.00 to be secured by two financially responsible people. I have provided the information and financial documents for two financially responsible people who have been interviewed by the U.S. Attorney's Office. The Government has indicated that these two potential signers would be acceptable to sign the bond. However, the Government would like a condition added whereby one of signers also agrees to allow for the forfeiture of his home should Mr. Saint Clair violate the terms of his release. This request arises because neither potential signer has adequate financial liquidity to cover the amount of the bond without the property.

I have no objection to this extra condition and have provided documents to the Government reflecting the value of the proposed property as well as the remaining mortgage amount. The amount of equity in the home coupled with the salaries of the two potential signers is in excess of \$500,000.00. I have given the Government documents reflecting this and they indicated they would approve it. I therefore write this letter requesting an Order from the Court that the bond be modified to include this extra provision. This request is without objection.

Respectfully Submitted,

  
 John P. Buza

cc: AUSA Kiersten Fletcher  
 via ECF